
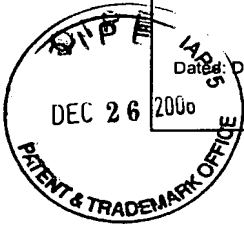


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Dated: December 26, 2006 Signature: 

Christine M. Holmes

Docket No.: 210\_794  
(PATENT)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Mikhail B. Gorbounov et al.

Application No.: 10/594,651

Confirmation No.: 2312

Filed: September 27, 2006

Art Unit: 3753

For: HEAT EXCHANGER WITH MULTIPLE  
STAGE FLUID EXPANSION IN HEADER

Examiner: Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR 1.97, Applicant(s) hereby make of record the following documents. A PTO Form SB/08 and a full copy of each of the documents required under 37 CFR 1.98(a)(2) accompany this statement.

Applicant(s) also submit herewith the following documents, issued during the prosecution of International Application No. PCT/US05/47362, which corresponds to the above referenced application: A copy of the International Search Report and also a copy of the Written Opinion of the International Search Authority.

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no

other relevant information exists. Nor shall the citation of any document herein be construed *per se* as a representation that such document is prior art. Moreover, Applicant(s) understand(s) the Examiner will make an independent evaluation of the cited documents.

This Information Disclosure Statement is filed within three months of the date of entry of the national stage of an international application as set forth in 37 CFR 1.491 (37 CFR 1.97(b)(2)).

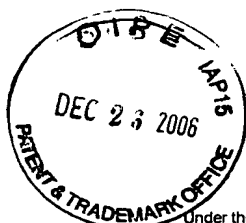
The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to Deposit Account No. 03-0835, under Order No. 210\_794. A duplicate copy of this paper is enclosed.

Dated: December 26, 2006

Respectfully submitted,

By 

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PTO/SB/08A/B (09-06)  
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<b>Substitute for form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/594,651-Conf. #2312
				Filing Date	September 27, 2006
				First Named Inventor	Mikhail B. Gorbounov
				Art Unit	3753
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	210_794

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA*	US-5,632,329	05-27-1997	Fay	
	AB*	US-4,724,904	02-16-1988	Fletcher et al.	
	AC*	US-4,607,689	08-26-1986	Mochida et al.	
	AD*	US-5,415,223	05-16-1995	Reavis et al.	
	AE*	US-6,340,055	01-22-2002	Yamauchi et al.	
	AF*	US-5,320,165	06-14-1994	Hughes	
	AG*	US-20010004935-A1	06-28-2001	Sanada et al.	
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	AK*	US-4,382,468	05-10-1983	Hastwell et al.	
	AL*	US-5,934,367	08-10-1999	Shimmura et al.	
	AM*	US-5,517,757	05-21-1996	Hayashi et al.	
	AN*	US-6,688,138	02-10-2004	DiFlora	
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	AR*	US-5,971,065	10-26-1999	Bertilson et al.	
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	AU*	US-5,341,870	08-30-1994	Hughes et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
	BA	EP-0228330	07-08-1987	Chausson Usines Sa		
	BB	FR-1258044	04-07-1961	Lummus Nederland N V		
	BC	JP-2217764	08-30-1990	Matsushita electric Ind Co Ltd		
	BD	JP-4080575	03-13-1992	Technol Res Assoc Super Heat Pump Energ Accum Syst		
	BE	JP-6241682	09-02-1994	Hitachi Ltd		
	BF	JP-7301472	11-14-1995	Matsushita Refrig Co Ltd		
	BG	JP-8233409	09-13-1996	Matsushita Refrig Co Ltd et al.		
	BH	JP-2002022313	01-23-2002	Matsushita Refrig Co Ltd		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/594,651-Conf. #2312
				Filing Date	September 27, 2006
				First Named Inventor	Mikhail B. Gorbounov
				Art Unit	3753
				Examiner Name	Not Yet Assigned
Sheet	2	of	1	Attorney Docket Number	210_794

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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Application No. (if known): 10/594,651

Attorney Docket No.: 210\_794

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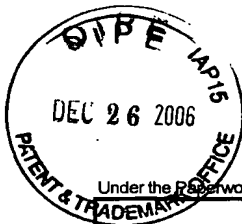
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Total Number of Pages in This Submission

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Application Number

10/594,651-Conf. #2312

Filing Date

September 27, 2006

First Named Inventor

Mikhail B. Gorbounov

Art Unit

3753

Examiner Name

Not Yet Assigned

Attorney Docket Number

210\_794

**ENCLOSURES (Check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/Incomplete Application☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

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Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

WALL MARJAMA &amp; BILINSKI LLP

Signature

Printed name

William W. Habelt

Date

December 26, 2006

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29,162

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